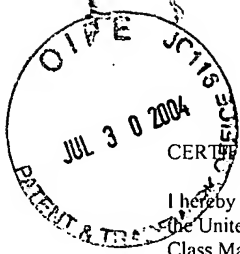


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John S. Nagy
John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/693,577
Applicant : Lilip Lau, William M. Hartigan
Filed : October 23, 2003
Art Unit : Unassigned
Examiner : Unassigned
Title : EXPANDABLE CARDIAC HARNESS FOR TREATING
HEART FAILURE

Docket No.: : PARCR 65971
Customer No. : 24201

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). Enclosed is a copy of two Information Disclosure Statements (each with Form PTO/SB/08A) and a Notice of References Cited (Form PTO-892) as filed in the parent application, U.S. Serial No. 09/952,116 now U.S. Patent No. 6,663,558. It is believed that these references will be helpful during examination of the application.


This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of the documents listed on the

Information Disclosure Statement by Applicant, Forms PTO/SB/08A can be found in U.S. Serial No. 09/634,043.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 
John S. Nagy
Registration No. 30,664

JSN:jeb

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Facsimile: (310) 824-9696
Customer No. 24201

39330.1



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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/693,577	
	Filing Date	October 23, 2003	
	First Named Inventor	Lilip Lau	
	Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	PARCR 65971

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	<i>John Nagy</i>
Date	January 28, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
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Signature	<i>John Nagy</i>	Date	January 28, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Lau, et al.)	Group Art Unit Unknown
)	
App. No.	:	Unknown)	
)	
Filed	:	Herewith)	
)	
For	:	EXPANDABLE CARDIAC)	
		HARNESS FOR TREATING)	
		CONGESTIVE HEART)	
		FAILURE)	
)	
Examiner	:	Unknown)	
)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing seventy-two references, none of which are enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/10/01

By: 

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

620 Newport Center Drive, Sixteenth Floor

Newport Beach, CA 92660

(949) 760-0404

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
	APPLICANT Lilip Lau, et al.	
	FILING DATE Herewith	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>sp</i>	1.	4,536,893	08-27-1985	Parravicini			
	2.	4,936,857	06-26-1990	Kulik			
	3.	4,957,477	09-18-1990	Lundbäck			
	4.	5,131,905	07-21-1992	Grooters			
	5.	5,256,132	10-26-1993	Snyders			
	6.	5,558,617	09-24-1996	Heilman, et al.			
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10857 U.S. PTO
 09/952116
 09/10/01

EXAMINER <i>Gilbert</i>	DATE CONSIDERED <i>9/29/02</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
	APPLICANT Lilip Lau, et al.	
	FILING DATE Herewith	GROUP ART UNIT Unknown

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
JS	27.	6,076,013	06/13/2000	Brennan, et al.			
	28.	6,125,852	10/03/2000	Stevens, et al.			
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							YES	NO
JS	46.	FR 2,527,435	02-02-1983	France				
	47.	WO 98/03213	01-28-1999	PCT				
	48.	WO 98/58538	12-30-1998	Germany				
	49.	WO 99/30647	06-24-1999	PCT				
JS	50.	WO 00/02500	01-20-2000	PCT				

EXAMINER

Gilbert

DATE CONSIDERED

9/29/02

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JS	51.	WO 00/06026	02-10-2000	PCT	1			
	52.	WO 00/06027	02-10-2000	PCT				
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	62.	David A. Kass, M.D., et al., <i>Reverse Remodeling From Cardiomyoplasty in Human Heart Failure</i> , <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
	63.	Vinay Badhwar, et al., <i>Power Generation From Four Skeletal Muscle Configurations, Design Implications for a Muscle Powered Cardiac Assist Device</i> , <u>ASAIO Journal</u> , 1997; 43: M651-M657. 12/1997
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	65.	CARDIAC BINDING IN EXPERIMENTAL HEART FAILURE, Mikhail Vaynblat, MD, et al., 1987 by <u>The Society of Thoracic Surgeons</u> , Pages 81-85. 12/1997
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EXAMINER Gilbert	DATE CONSIDERED 9/29/02
*EXAMINER: INITIAL IF CITATION CONSIDERED. WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

Notice of References Cited

Application/Control No.

09/952,116

Applicant(s)/Patent Under
Reexamination
LAU ET AL.

Examiner

Samuel G Gilbert

Art Unit

3736

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	✓	A US-6,360,749 ✓	03-2002	Jayaraman, Swaminathan	128/898
		B US-			
		C US-			
		D US-			
		E US-			
		F US-			
		G US-			
		H US-			
		I US-			
		J US-			
		K US-			
		L US-			
		M US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
		N				
		O				
		P				
		Q				
		R				
		S				
		T				

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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Substitute for form 1449A/PTO		Complete if Known			
		Application Number	10/693,577		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 23, 2003		
		First Named Inventor	Lilip Lau		
		Art Unit	3736		
		Examiner Name	Unassigned		
Sheet	1	of	12	Attorney Docket Number	PARCR 65971

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		2,826,193		03/11/58	Vineberg	
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
		3,613,672		10/19/71	Schiff	
		3,966,401		06/29/76	Hancock et al.	
		3,983,863		10/05/76	Janke et al.	
		3,988,782		11/02/76	Dardik et al.	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 91/19465		12/26/91	Frater		
		SU	1734767 A1		05/23/92	Popov et al.		
		EP	0 280 564 B1		06/16/93	Intermedics, Inc.		
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		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505		06/12/97	Loma Linda University		
		JP	60-203250		1014/85	Unknown		

Examiner Signature		Date Considered	
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60396.1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known					
Sheet		2		of		12			
				Application Number		10/693,577			
				Filing Date		October 23, 2003			
				First Named Inventor		Lilip Lau			
				Art Unit		3736			
				Examiner Name		Unassigned			
				Attorney Docket Number		PARCR 65971			
U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Number	Kind Code ² (if known)						
		4,630,597		12/23/86	Kantrowitz et al.				
		4,665,906		05/19/87	Jervis				
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		4,821,723		04/18/89	Baker, Jr. et al.				
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		5,098,369		03/24/92	Heilman et al.				
FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)					
		SU	1009457A		04/07/83	Kulik			
		GB	2 115 287 A		09/07/83	Sharma			
		PCT	WO 97/24101		07/10/97	Melvin			
		EP	0 791 330 A3		08/27/97	Cardiothoracic Systems			
		PCT	WO 98/14136		04/09/98	Acorn Medical, Inc.			
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.			
		PCT	WO 98/29041		07/09/98	Myocor, Inc.			
		PCT	WO 98/58598		12/30/98	Haindl			
		PCT	WO 99/11201		03/11/99	Endocore, Inc.			
		EP	0 919 193 A1		06/02/99	Ethicon Endo-Surgery			
		PCT	WO 99/44534		09/10/99	Melvin			
		JP	1-271829		10/30/89	Kogyo			
		EPO	0 370 931 A1		05/30/90	Perrotin			
Examiner Signature						Date Considered			

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/693,577
				Filing Date	October 23, 2003
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
Sheet	3	of	12	Attorney Docket Number	PARCR 65971

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
		5,150,706		09/29/92	Cox et al.	
		5,169,381		12/08/92	Snyders	
		5,186,711		02/16/93	Epstein	
		5,190,546		03/02/93	Jervis	
		5,192,314		03/09/93	Daskalakis	
		5,197,978		03/30/93	Hess	
		5,279,539		01/18/94	Bohan et al.	
		5,290,217		03/01/94	Campos	
		5,336,254		08/09/94	Brennen et al.	
		5,344,442		09/06/94	Deac	
		5,352,184		10/04/94	Goldberg et al.	
		5,356,432		10/18/94	Rutkow et al.	
		5,366,460		11/22/94	Eberbach	
		5,383,840		01/24/95	Heilman et al.	
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		5,405,360		04/11/95	Tovey	
		5,429,584		07/04/95	Chiu	
		5,460,962		10/24/95	Kemp	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 99/56655		11/11/99	Correstore, Inc.		
		PCT	WO 00/13722		03/16/00	SciMed Life Systems		
		PCT	WO 00/18320		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795		08/24/00	Richebourg		
		PCT	WO 00/62727		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/693,577
				Filing Date	October 23, 2003
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
(use as many sheets as necessary)				Attorney Docket Number	PARCR 65971
Sheet	4	of	12		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,433,727		07/18/05	Sideris	
		5,456,711		07/18/95	Hudson	
		5,500,015		03/19/96	Deac	
		5,507,779		04/16/96	Altman	
		5,509,428		04/23/96	Dunlop	
		5,524,633		06/11/96	Heaven et al.	
		5,533,958		07/09/96	Wilk	
		5,534,024		07/09/96	Rogers et al.	
		5,545,210		08/13/96	Hess et al.	
		5,571,215		11/05/96	Sterman et al.	
		5,584,803		12/17/96	Stevens et al.	
		5,593,424		01/14/97	Northrup III	
		5,593,441		01/14/97	Lichtenstein et al.	
		5,597,378		01/28/97	Jervis	
		5,603,337		02/18/97	Jarvik	
		5,607,477		03/04/97	Schindler et al.	
		5,647,372		07/15/97	Tovey et al.	
		5,647,380		07/15/97	Campbell et al.	
		5,695,525		12/09/97	Mulhauser et al.	
		5,727,569		03/17/98	Benetti et al.	
		5,782,746		07/21/98	Wright	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/01	Acom Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		
		PCT	WO 01/85061		11/15/01	Acom Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/01	Acom Cardiovascular		
		PCT	WO 01/95831		12/20/01	Acom Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acom Cardiovascular		
		PCT	WO 02/13726		02/21/02	Acom Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	10/693,577	
Sheet		5	of	12	Filing Date	October 23, 2003
					First Named Inventor	Lilip Lau
					Art Unit	3736
					Examiner Name	Unassigned
					Attorney Docket Number	PARCR 65971

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,800,334		09/01/98	Wilk	
		5,814,097		09/29/98	Sterman et al.	
		5,824,028		10/20/98	Knisley	
		5,836,311		11/17/98	Borst et al.	
		5,849,005		12/15/98	Garrison et al.	
		5,853,422		12/29/98	Huebsch et al.	
		5,865,791		02/02/99	Whayne et al.	
		5,904,690		05/18/99	Middleman et al.	
		5,927,284		07/27/99	Borst et al.	
		5,948,019		09/07/99	Shu et al.	
		5,979,456		11/09/99	Magovern	
		5,984,857		11/16/99	Buck et al.	
		5,990,378		11/23/99	Ellis	
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,071,303		06/06/00	Laufer	
		6,095,968		08/01/00	Snyders	
		6,110,100		08/29/00	Talpade	
		6,117,159		09/12/00	Huebsch et al.	
		6,117,979		09/12/00	Hendriks et al.	
		6,155,968		12/05/00	Wilk	
		6,155,972		12/05/00	Nauertz et al.	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		
		GB	2 209 678 A		05/24/89	Evans		
		DE	3831 540 A1		04/06/89	Evans		
		JP	1-145066		06/07/89	Unknown		

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				Application Number	10/693,577	
Sheet		6	of	12	Filing Date	October 23, 2003
					First Named Inventor	Lilip Lau
					Art Unit	3736
					Examiner Name	Unassigned
					Attorney Docket Number	PARCR 65971

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		Number	Kind Code ² (if known)			
		6,166,184		12/26/00	Hendriks et al.	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	
		6,375,608		04/23/02	Alfermess	
		6,390,976		05/21/02	Spence et al.	
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		6,402,680		06/11/02	Mortier et al.	
		6,406,420		06/18/02	McCarthy et al.	
		6,409,760		06/25/02	Melvin	
		6,416,459		07/09/02	Haindl	
		6,425,856		07/30/02	Shapland et al.	
		6,432,039		08/13/02	Wardle	
		6,451,025		09/17/02	Jervis	
		6,482,146		11/19/02	Alfermess et al.	
		6,537,203		03/25/03	Alfermess et al.	
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		6,547,821		11/11/03	Taylor et al.	
		6,564,094		05/13/03	Alfermess et al.	
		6,567,699		05/20/03	Alfermess et al.	
		6,569,082		05/27/03	Chin	
		6,572,533		06/03/03	Shapland et al.	
		6,575,921		06/10/03	Vanden Hoek et al.	
		6,582,355		06/24/03	Alfermess et al.	
		6,587,734		07/01/03	Okuzumi	
		6,595,912		07/22/03	Lau et al.	
		6,602,184		08/05/03	Lau et al.	
		6,612,978		09/02/03	Lau et al.	
		6,612,979		09/02/03	Lau et al.	

FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind ⁵ (if known)				

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				Application Number	10/693,577	
Sheet		7	of	12	Filing Date	October 23, 2003
					First Named Inventor	Lilip Lau
					Art Unit	3736
					Examiner Name	Unassigned
					Attorney Docket Number	PARCR 65971

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		Number	Kind Code ² (if known)			
		6,645,139		11/11/03	Haindl	
		6,663,558		12/16/03	Lau et al.	
		6,673,009		01/06/04	Vanden Hoek et al.	
		6,682,474		01/27/04	Lau et al.	
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		6,682,476		01/27/04	Alferness et al.	
		6,685,620		02/03/04	Gifford, III et al.	
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		6,689,048		02/10/04	Vanden Hoek et al.	
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		6,702,732		03/09/04	Lau et al.	
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		2002/007754		06/20/02	Schweich, Jr. et al.	
		2002/0082647		06/27/02	Alferness et al.	
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		2002/0103511		08/01/02	Alferness et al.	
		2002/0151950		10/17/02	Okuzumi	
		2003/0060674		03/27/03	Gifford, III et al.	
		2003/0060677		03/27/03	French et al.	
		2003/0060895		03/27/03	French et al.	
		2003/0199733		10/23/03	Shapland et al.	
		2003/0199955		10/23/03	Struble et al.	
		2003/0229265		12/11/03	Girard et al.	

FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind ⁵ (if known)				

Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/693,577
				Filing Date	October 23, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 65971
Sheet	8	of	12		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/693,577
				Filing Date	October 23, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 65971
Sheet	9	of	12		

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		Group Art Unit	3736
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		Provisional Patent Application Serial No. 60/486,062 filed July 10, 2003	
		Application for U.S. Letters Patent Serial No. 10/698,237 filed October 31, 2003	
		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004	

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